



Blind null-space tracking for MIMO Underlay Cognitive Radios

Alexandros Manolakos, Yair Noam, Konstantinos Dimou, Andrea Goldsmith

Electrical Engineering, Stanford University



Introduction

Motivation:

- The electromagnetic spectrum is running out
- Almost all frequency bands have been assigned
- The spectrum is expensive \Rightarrow Services are expensive

Solution: Cognitive Radios + MIMO:

- A radio that adapts and makes intelligent decisions.
- The unlicensed user learns where it should **NOT** transmit in order to not interfere with the licensed user of the spectrum.

Noam and Goldsmith proposed the **BNSL** Algorithm [1].

In this work, we extend the BNSL Algorithm to the case of **time-varying** channels.

BNST Algorithm

1. The ST starts performing a sweep of the BNSL algorithm.
2. The ST searches over a smaller set of parameters since it knows where to search.
3. When the ST senses that it does not transmit in the null-space it performs "modified" rotations.

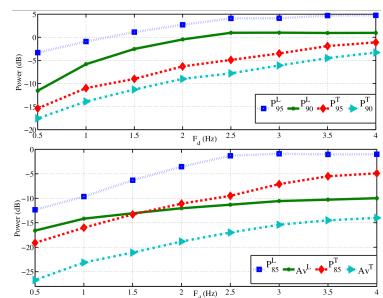
Advantages:

- Small rotations
- Less interference while the ST adapts
- More robust to Doppler effect

Disadvantages:

- No slots left for information transmission to the SR.
- More sensitive to the noise when the "Red" Link is close to the Noise floor.

Trade-off between how "small" are the rotations and how much interference ST causes to PU



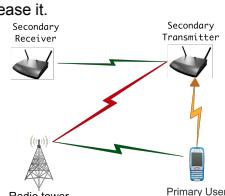
The BNSL Algorithm

Consider the example in the Figure below.

- The Secondary Transmitter (ST) wants to learn the null space of the **red** channel.
- It changes the orientation of its antennas using the **yellow** transmit power:
 - If it causes too much interference to the Radio Tower, the PU will increase its transmit power
 - If not, the PU will decrease it.

Critical Assumptions:

1. ST has more antennas than the Radio Tower.
2. Red channel is constant.



Channel Model

N_r, N_t : The antennas of ST and PR respectively $N_r > N_t$,

$H_{12}(t)$: The **channel** between ST and PR.

T_{fb} : Time needed the ST senses the reaction from the PU

Rayleigh Fading independent Fading with Doppler F_d

Performance Metrics

Interference to the PR when the ST uses pre-coding matrix $T(t)$:

$$\|H_{12}T\| = \max_{\|x_2\|=1} \|H_{12}Tx_2\|_2$$

The tracking is successful if:

$$\Pr\left\{10\log_{10}\frac{\|H_{12}(t)T(t)\|}{\|H_{12}(t)\|} \leq -P_{th}\right\} = 0.95$$

Null-Space Variations

What is the relation between the Doppler and the null-space variations?

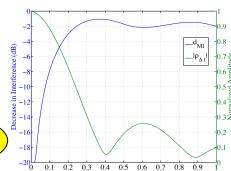
$$\text{Normalized Autocorrelation of a SISO channel } h(t) \Rightarrow \rho_{st} = \frac{E\left[h(t)h(t+\Delta t)^*\right]}{E\left[h(t)^2\right]}$$

$$\text{Interference after } \Delta \text{ seconds.} \Rightarrow d_M(\Delta) = 10\log_{10}\left(E\left[\frac{\|H_{12}(t+\Delta)N(H_{12}(t))\|^2}{\|H_{12}(t)\|^2}\right]\right)$$

Example:

- Doppler $F_d = 6.48 \text{ Hz}$
- 3 antennas in ST
- 1 antenna in Radio tower

Null-space changes much faster than expected!



Transmit & Track I

Motivation: The secondary system uses too much of its transmission time to learn the channel.

Basic Idea: Superimpose the information signal to the learning signal.

Consider one time period of learning where the ST sends the same signal $x(t) = r_i$ for N time slots.

It also sends an information signal $r_2(t) = c(t)r_i$. Then:

$$y_1(t) = H_{12}T(r_1 + r_2(t)) + n_1(t)$$

$$y_2(t) = H_{22}T(r_1 + r_2(t)) + n_2(t)$$

The PR calculates the quantity: $Q(t) = \frac{1}{N} \sum_{i=1}^N \|y_i(t)\|^2$

The learning process should remain the same. $\Rightarrow E\left\{c(t)^2\right\} = 1$

The SR should be able to decode the message $\Rightarrow E\{c(t)\} \neq 1$

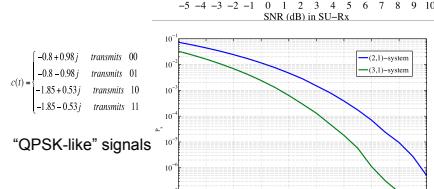
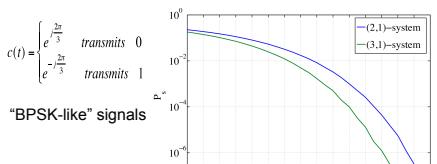
Superimpose the information in the phase of the learning signal

Transmit & Track II

The SR estimates and subtracts the average over the N slots $\Rightarrow \bar{y}_2 = \frac{1}{N} \sum_{i=1}^N y_2(i)$

Enumerative Encoding leads to a more robust to the noise system

The SR does not need to know the learning signal nor the channel, nor the pre-coding matrix.



The learning process remained essentially the same. Difference in interference was 0.12dB.

Work in Progress

1. Introduce the notion of Null-Space Coherence Time.
2. Study the connection of the "Null-Space Coherence Time" with the channel coherence time.
3. Tuning is needed in order the algorithm to become a real protocol.
 - The ST should choose a Primary User to "sense" its Power variations. Which one?
 - If the "Red" Link is close to the noise floor, then the Algorithm fails to track the channel.
4. Find a "Transmit & Learning" technique where the Secondary System uses more degrees of freedom.

Conclusions

- The null-space changes much faster than the channel coherence time can explain.
- We proposed a tracking algorithm that enhances the BNSL algorithm.
- The Secondary system can transmit information and learn simultaneously.